

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark L. Mathis  
Serial No.: Unknown  
Serial No. of Parent App: 09/855,946  
Filing Date of Parent App: May 14, 2001  
Title: MITRAL VALVE THERAPY ASSEMBLY AND METHOD  
Examiner/Unit: Unknown  
Attorney Docket No.: 1931-4-5

CERTIFICATE OF EXPRESS MAILING

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*Kelly Pederson*  
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Signature

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 (as amended)**

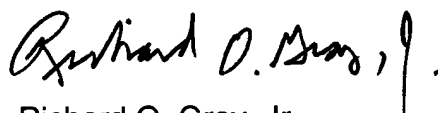
TO THE COMMISSIONER FOR PATENTS:

In compliance with Applicant's and his attorney's duty of disclosure under 37 CFR § 1.56, Applicant submits herewith patents, publications, or other information of which they are aware and which they believe may be material to the examination of this application.

While this Information Disclosure Statement may disclose "material" information pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

A handwritten signature in black ink, appearing to read "Richard O. Gray, Jr.", with a stylized flourish at the end.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of

2

**Complete if Known**

Application Number	Unknown
Filing Date	
First Named Inventor	Mark L. Mathis
Group Art Unit	
Examiner Name	
Attorney Docket Number	1931-4-5

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-2002/0103533 A1	08/01/02	Langberg et al.	
		US- 2002/0151961 A1	10/17/02	Lashinski et al.	
		US- 2002/0169504 A1	11/14/02	Alferness et al.	
		US- 2002/0183837 A1	12/05/02	Streeter et al.	
		US- 6,027,517	02/22/00	Crocker et al.	
		US- 6,077,295	06/20/00	Limon et al.	
		US- 2002/0183835 A1	12/05/02	Taylor et al.	
		US- 2002/0183836 A1	12/05/02	Liddicoat et al.	
		US- 2002/0183838 A1	12/05/02	Liddicoat et al.	
		US- 2002/0183841 A1	12/05/02	Cohn et al.	
		US- 6,402,781 B1	06/11/02	Langberg et al.	
		US- 6,275,730 B1	08/14/01	KenKnight et al.	
		US- 5,824,071	10/20/98	Nelson et al.	
		US- 6,569,198 B1	05/27/03	Wilson et al.	
		US- 2003/0069636 A1	04/10/03	Solem et al.	
		US- 4,055,861	11/01/77	Carpentier et al.	
		US- 5,350,420	09/27/94	Cosgrove et al.	
		US- 6,210,432 B1	04/03/01	Solem et al.	
		US- 2001/0018611 A1	08/30/01	Solem et al.	
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		PCT-WO 01/54618	08/02/01	Mitralife		
		PCT-WO 00/60995	10/19/00	Deem		

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

Filing Date

First Named Inventor	Mark L. Mathis
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### Group Art Unit

Examiner Name

Sheet	2	of	2
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Attorney Docket Number	1931-4-5
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Examiner  
Signature

Date  
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<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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